



## Skup: Otvorena nauka u H2020

Otvorena nauka u programima Horizon 2020

Kada 08.11.2016., 11.00-17.00

Gde Svečana sala Rektorata Univerziteta u Beogradu



OpenAIRE

# The OpenAIRE FP7 Post-Grant Open Access Pilot

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# What is this FP7 Post-Grant Pilot?

## 'REGULAR' FUNDING MECHANISM

- The EC FP7 Post-Grant Open Access Pilot provides funding to *cover the OA publishing fees for publications arising from completed FP7 projects*
- 4 million euros have been made available by the EC to fund the OA “post-grant” publications of *over 8,000 completed FP7 projects*
- The Pilot will last for a *maximum of two years* (i.e. until Apr 30th, 2017) or until its budget is exhausted

## ALTERNATIVE FUNDING MECHANISM

- Funding available for APC-free Open Access journals/platforms that have published articles resulting from FP7 projects

# Main Policy Guidelines

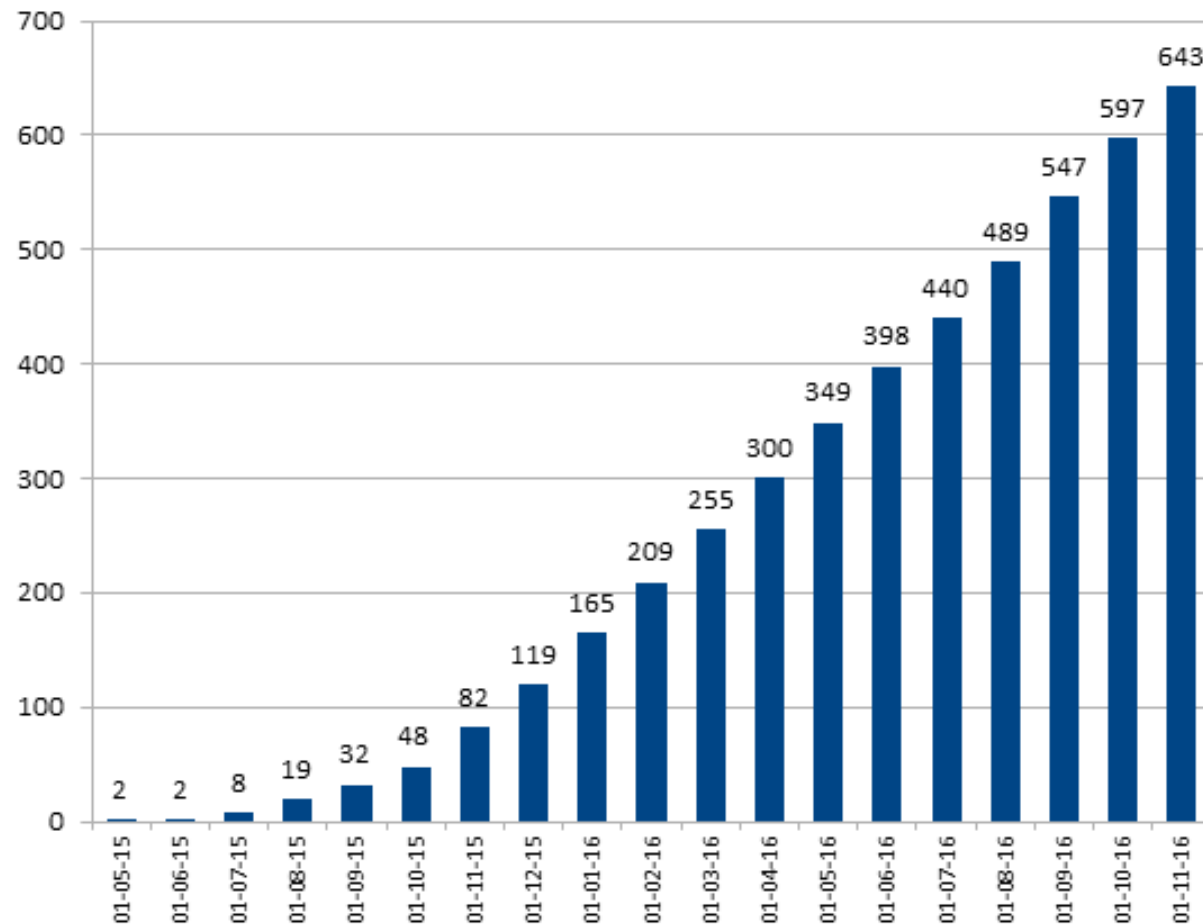
- The FP7 project *should have finished* by the time the funding is requested, but *no longer than two years ago*;
- *A maximum of three publications* will be funded per eligible FP7 project as a means to ensure a fair distribution of the funding across projects;
- Publications eligible for funding *must be peer-reviewed*;
- Funding requests must be submitted *once the publication has been accepted*;
- **Publications submitted to hybrid journals will not be funded, but only those accepted at fully Open Access journals;**
- **Funding caps of €2,000 for research articles and €6,000 for monographs apply for this Pilot;**
- The final version of the funded output *must be deposited* in an OpenAIRE-compliant Open Access repository.

Full Pilot policy guidelines available at: <https://www.openaire.eu/postgrant/fp7-post-grant/pilot>

# Results so far – approved requests

Figures as of Oct 31<sup>st</sup>, 2016: **602** journal articles, **30** books, **10** book chapters, **1** conf procs

■ No of approved requests for the FP7 Post-Grant Open Access Pilot



# The Current Open Access Landscape



The Competitiveness Council meeting in Brussels this week.

EU Competitiveness Council



In dramatic statement, European leaders call for 'immediate' open access to all scientific papers by 2020

By **Martin Enserink** | May. 27, 2016 , 2:30 PM

Science, 27/05/2016, <http://www.sciencemag.org/news/2016/05/dramatic-statement-european-leaders-call-immediate-open-access-all-scientific-papers>

# The Current Open Access Landscape

Concerning open access to **scientific peer-reviewed publications**, most EU Member States reported a national preference for one of the two types of open access, either the Green (self-archiving) or the Gold (open access publishing) model. Preference for the Green model is found in Belgium, Cyprus, Denmark, Estonia, Greece, Ireland, Lithuania, Malta, Norway, Portugal, Slovakia and Spain. Those expressing a preference for the Gold model are Hungary, the Netherlands, Romania, Sweden and the United Kingdom. Other Member States support both models equally, such as Germany, France, Croatia, Italy, Luxembourg, Poland and Finland. However, the expressed preferences for one of the two models are not pure models in which only one route is followed. Instead, there is generally a system of predominance of one model with the possibility of using the other model, so a mixture of both routes results.

## Gold Open Access

APC-based business model

Offsetting deals

APC-free Open Access publishing

## Green Open Access

Open Access repositories

Overlay services

“Access to and Preservation of Scientific Information in Europe: Report on the implementation of Commission Recommendation C(2012) 4890 final”, [http://ec.europa.eu/research/openscience/pdf/openaccess/npr\\_report.pdf](http://ec.europa.eu/research/openscience/pdf/openaccess/npr_report.pdf)

# OA Publishing Market Analysis Report

- **Survey among authors funded by the EC FP7 Post-Grant Open Access Pilot** to explore their attitudes towards the funding initiative;
- **Case studies** that address the different Open Access policy landscapes and their gradual implementation across a range of European regions, leading to an analysis of **possible roadmaps** for further Open Access implementation in these specific landscapes;
- Supporting role at the **workshop on sustainable Open Access publishing** to be organised by LIBER in April 2017.



Research Consulting Ltd wins bid for providing a Market Analysis Report on the Open Access publishing market

Updated on 14 October 2016

# Results so far – requests by country

Country	Approved funding requests
Spain	101
UK	91
Germany	74
Italy	67
Netherlands	45
Switzerland	33
France	31
Greece	20
Austria, Sweden	19
Ireland	18
Belgium	16
Finland	15
Portugal	13
Hungary	11
Israel, Turkey	8
Denmark, Norway	6
Poland, Slovenia	4
Cyprus, Lithuania, Russia, South Africa	3
Australia, Czech Republic, Serbia, US	2
Bulgaria, Burkina Faso, Croatia, Luxembourg, Mexico, Slovakia	1
Estonia, Latvia, Malta, Romania (EU only)	0

Table - Distribution of approved funding requests by country as of Oct 31<sup>st</sup>, 2016



# Results so far – Serbia

Request ID: 710

Institution: University of Kragujevac (RS)

Status: PAID

Date of request: 11-04-2016

Manuscript acceptance date: 03-04-2016

Researcher: Pavle Mijovic

FP7 project: INNHF-289837

Project end-date: 30-11-2015

Publisher: Frontiers

Journal: Frontiers in Human Neuroscience

Document type: Research article

Title: Benefits of Instructed Responding in Manual Assembly Tasks: An ERP Approach

APC fee: USD 1425 -- EUR 1299,23

Request ID: 826

Institution: University of Novi Sad (RS)

Status: PAID

Date of request: 30-05-2016

Manuscript acceptance date: 28-05-2016

Researcher: Andras Anderla

FP7 project: QOSTREAM-295220

Project end-date: 31-01-2016

Publisher: Hindawi

Journal: Computational Intelligence and Neuroscience

Document type: Research article

Title: Deep Neural Networks Based Recognition of Plant Diseases by Leaf Images Classification

APC fee: USD 1500 -- EUR 1379,31

# How to apply for funding

## APC funding mechanism

Direct payment to publishers  
upon manuscript acceptance

Reimbursement of  
already paid fees



FP7 post-grant Open Access Pilot

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## Requesting funds for post-grant FP7 publications?

**WARNING** - Once the first year of the FP7 Post-Grant Open Access Pilot has been completed and the initiative has been widely disseminated, an updated and more strict project eligibility time-window will apply. As of June 1st, 2016, finished FP7 projects will remain eligible for up to two months after the nominal 2-year window after its end-date. Once these two months are over, the project will be shown as non-eligible in the system and it will no longer be possible to submit any funding request.

## Is your project eligible?

Type your FP7 acronym/granted or project name to see if you can request funding for your publication

*If you cannot find your project in our database, do not hesitate to contact us*



<https://postgrantoapilot.openaire.eu/>

# Funded journals thus far



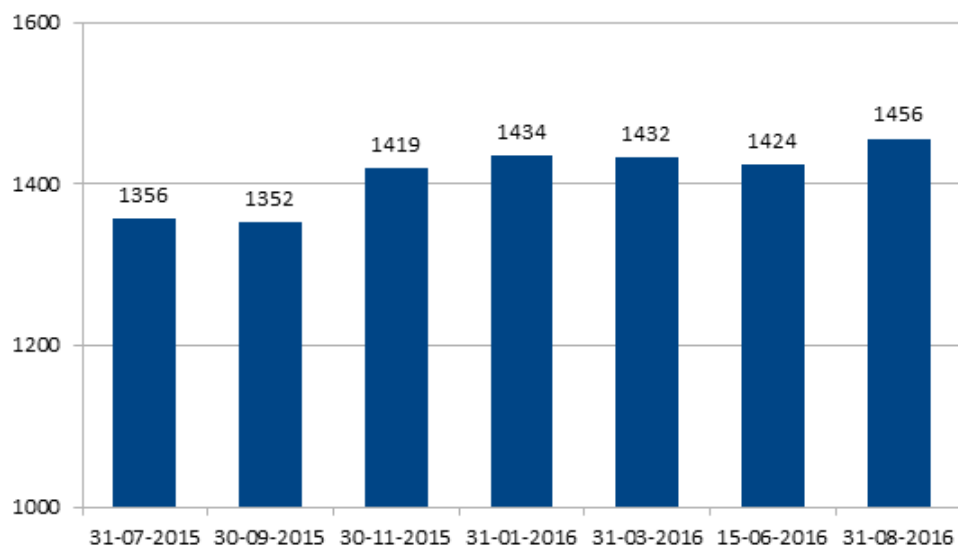
## Funded journal titles by the EC FP7 Post-Grant Open Access Pilot

Pablo de Castro | 2015-11-25 | FP7 post-grant OA publishing funds

Aging Cell (Wiley)  
Animals (MDPI)  
Annales Geophysicae (Copernicus)  
Atmospheric Chemistry and Physics (Copernicus)  
Atmospheric Measurement Techniques Discussions, AMTD (Copernicus)  
Biogeosciences Discussions (Copernicus)  
BioMed Research International (Hindawi)  
BioMedical Engineering OnLine (BioMed Central)  
BioResearch Open Access (Mary Ann Liebert)  
BMC Genomics (BioMed Central)  
BMC Health Services Research (BioMed Central)  
BMC Medical Informatics and Decision Making (BioMed Central)  
BMC Medicine (BioMed Central)  
BMC Palliative Care (BioMed Central)  
BMC Plant Biology (BioMed Central)  
BMC Public Health (BioMed Central)  
BMC Veterinary Research (BioMed Central)  
BMJ Open (BMJ)  
Brain and Behaviour (Wiley)

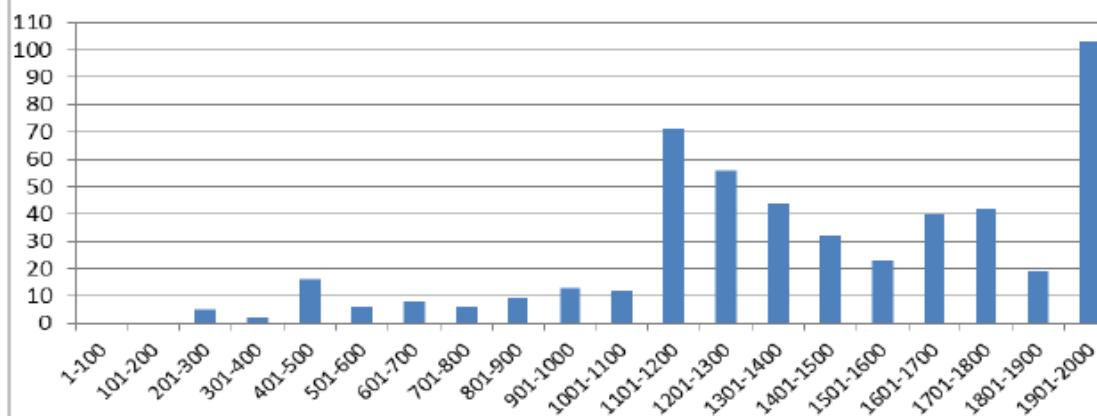
# Average APC fees paid

Evolution of average APC fee (€)



- Pre-paid funding driving average APC fee up
- €2,000 funding cap for journal articles as well


Number of APCs per price interval



# Alternative funding mechanism for APC-free OA journals and platforms

- Complement to the funding of APCs/BPCs – also aiming to cover regions where APC-based Gold OA is not a mainstream business model;
- Funding for technical improvement plans proposed by bidders – provided they have published FP7 project articles;
- Areas for technical improvement suggested in the early May'2016 call



 PARTICIPATE SEARCH MONITOR

## Are you publishing your APC-free OA journal on a shoestring?

Updated on 03 May 2016



If you're publishing an APC-free Open Access journal with very little resources, you might be able to receive funding for it from OpenAIRE!

# Alt-funding mechanism: funded bids



Funded bids for the Alternative Funding Mechanism for APC-free Open Access journals and platforms

Pablo de Castro | 2016-08-01 | FP7 post-grant OA publishing funds | 1 Reply

Policy Review – Alexander von Humboldt Institute for Internet and Society (Germany). €16K

Annals of Geophysics – Istituto Nazionale di Geofisica e Vulcanologia (Italy). €20K

Hrčak – University of Zagreb Computing Centre (SRCE, Croatia). €28K

SCIndeks: The Serbian Citation Index – Centre for Evaluation in Education and Science (CEON, Serbia). €17K

Scientific Journals Online – Federation of Finnish Learned Societies (Finland). €9K

Revistas CSIC – Spanish National Research Council (Spain). €35K

Information Bulletin on Variable Stars – Konkoly Observatory (Hungary). €14K

Open Praxis – International Council for Open and Distance Education (Norway/Spain). €10.4K

EKT ePublishing – National Documentation Centre/National Hellenic Research Foundation (Greece). €14.8K

Hungarian Educational Research Journal (HERJ) – University of Debrecen (Hungary). €15K

International Journal of Digital Curation (IJDC) – Digital Curation Centre (DCC, UK). €17.2K

# Alt-funding mechanism: funded work

- 11 funded bids in 10 different countries;
- Funded technical improvements (a.o.):
  - OJS upgrade
  - Collection of funder info
  - ORCID implementation
  - OpenAIRE compliance
  - Production of XML files
  - Article-level info to DOAJ
- Promoting cross-project (intl) collaboration

# Summary

- Funding different business models *without expressing preference for one or the other*;
- Significant difference in uptake across regions: eagerness to explore reasons why;
- Pilot initiative to test feasibility of implementing workflows for such a large-scale project geographically;
- Roadmaps for further Open Access implementation under different policy landscapes to be proposed and discussed.





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# Questions?



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